

## Short Communication

# Viral Dynamics and CD4<sup>+</sup> T Cell Counts in Subtype C Human Immunodeficiency Virus Type 1-Infected Individuals from Southern Africa

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### ABSTRACT

Defining viral dynamics in natural infection is prognostic of disease progression and could prove to be important for vaccine trial design as viremia may be a likely secondary end point in phase III HIV efficacy trials. There are limited data available on the early course of plasma viral load in subtype C HIV-1 infection in Africa. Plasma viral load and CD4<sup>+</sup> T cell counts were monitored in 51 recently infected subjects for 9 months. Individuals were recruited from four southern African countries: Zambia, Malawi, Zimbabwe, and South Africa and the median estimated time from seroconversion was 8.9 months (interquartile range, 5.7–14 months). All were infected with subtype C HIV-1 and median viral loads, measured using branched DNA, ranged from 3.82–4.02 log<sub>10</sub> RNA copies/ml from 2–24 months after seroconversion. Viral loads significantly correlated with CD4<sup>+</sup> cell counts ( $r = -0.5$ ,  $p < 0.0001$ ; range, 376–364 cells/mm<sup>3</sup>) and mathematical modeling defined a median set point of 4.08 log<sub>10</sub> (12 143 RNA copies/ml), which was attained approximately 17 months after seroconversion. Comparative measurements using three different viral load platforms (bDNA, Amplicor, and NucliSens) confirmed that viremia in subtype C HIV-1-infected individuals within the first 2 years of infection did not significantly differ from that found in early subtype B infection. In conclusion, the course of plasma viremia, as described in this study, will allow a useful baseline comparator for understanding disease progression in an African setting and may be useful in the design of HIV-1 vaccine trials in southern Africa.

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TO GAUGE THE EFFECTIVENESS of vaccines and antiretroviral therapies (ART) in altering the natural history of HIV-1 infection, it is necessary to understand levels and dynamics of viremia in the absence of vaccination or ART. Several studies have evaluated the natural course of HIV-1 viremia in adults, where it was identified that viremia increased during the early stages of primary infection,<sup>1,2</sup> and declined to an equilibrium or set point.<sup>1,3,4</sup> Some investigations have argued against attaining a set point and that slopes in viremia<sup>5–10</sup> and CD4<sup>+</sup> T cell counts<sup>7</sup> over time of infection correlate with AIDS or AIDS-free survival. Natural history studies have primarily been undertaken in developed countries in predominantly male cohorts. Whether these scenarios apply in an African setting is unclear and there are currently few data from cohorts in Africa that describe the course of HIV-1 viremia from known dates of infection. Adult studies in Africa have focused on prospective analyses of CRF02-A/G HIV-1 or HIV-2 viremia,<sup>11,12</sup> subtype A and D HIV-1-infected Kenyan women and men,<sup>13</sup> and cross-sectional studies in female sex workers.<sup>14</sup> A study of female sex workers in South Africa revealed low viral loads in a small cohort<sup>15</sup> and higher viremia in those dually infected with two phylogenetically distinct subtype C strains. Prevalent levels of HIV-1 subtype C viremia have been described in Ethiopian adults<sup>16</sup> and in children from Malawi, where high plasma viral load correlates with mortality.<sup>17</sup> With emerging clinical HIV-1 drug and vaccine trials in southern Africa,<sup>18</sup> knowledge of HIV-1 subtype C viremia provides valuable data for comparative purposes.

In this article we describe the course of viral loads and CD4<sup>+</sup> cell counts and the use of mathematical modeling to predict the time taken to reach relatively stable viremia. Sixty-five individuals were recruited into the HIVNET 028 study, a four-country study to investigate the natural history of subtype C HIV-1 infection in Zimbabwe, Malawi, Zambia, and South Africa.\* Fourteen participants were excluded from the analysis because of indeterminate times of seroconversion. The median interval time between last antibody negative and first antibody positive was 8.9 months with an interquartile range of 5.7–14 months

(Table 1). Participants were monitored at 2, 4, 7, and 9 months after enrollment. Fifty-eight HIV-1-seronegative individuals were recruited as controls. Viral loads were assayed by branched DNA (bDNA) (version 3.0; Bayer, Emeryville, CA), with a limit of detection of 50 RNA copies/ml. Blinded replicate testing of samples was performed, using the reverse transcriptase-polymerase chain reaction (RT-PCR; Amplicor HIV-1 Monitor test version 1.5; Roche Diagnostic Systems, Branchburg, NJ) according to the standard assay (detection limit of 400 copies/ml) or the more sensitive assay (limit of detection, 20 copies/ml) as well as the NucliSens assay (Organon Teknika [Durham, NC], with a detection limit of 125 copies/ml). All samples were shipped within 3 hr of blood draw and processed and stored at  $-70^{\circ}\text{C}$  within 24 hr.

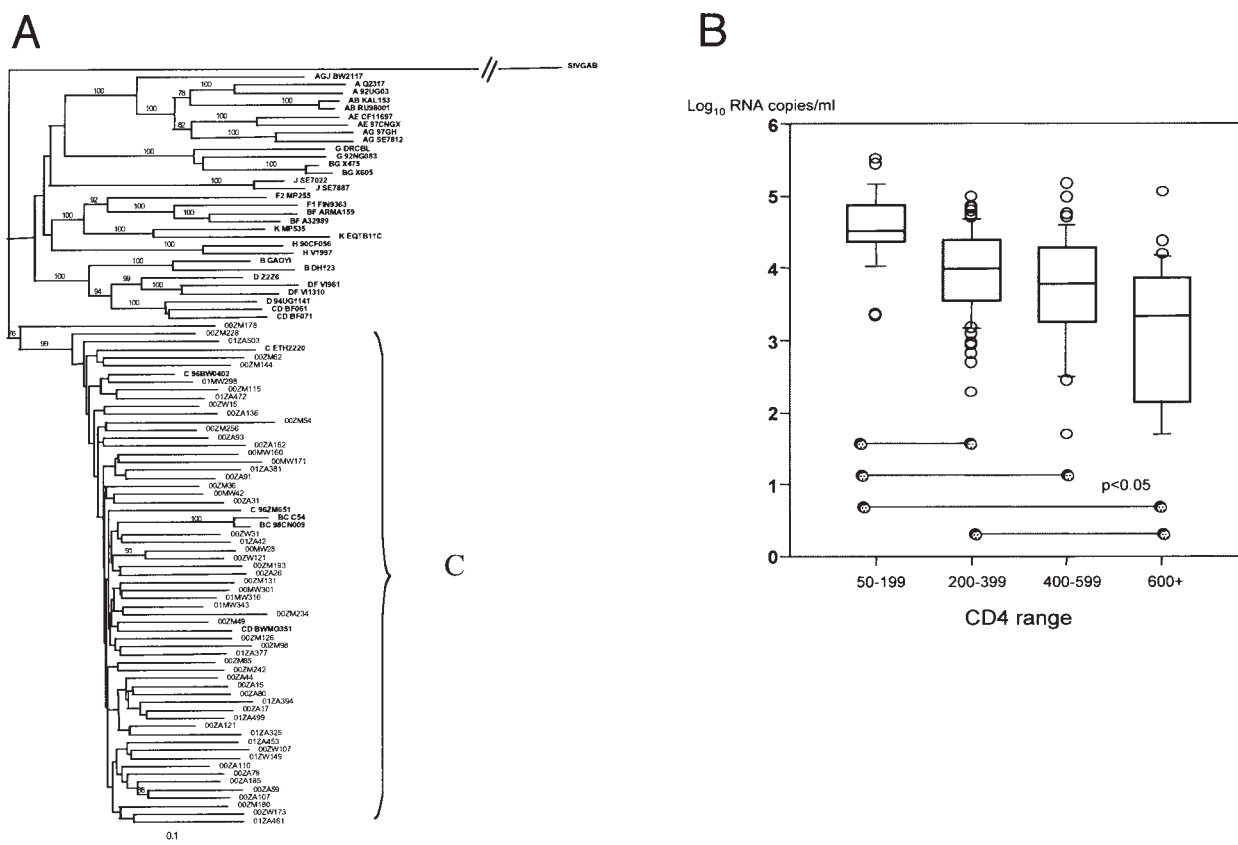
The distribution of participants between southern African countries is shown in Table 1, where most participants (42 of 51) were women. Confirmation that the infecting virus was subtype C was shown by population-based sequencing in *gag* (Fig. 1A). Comparisons of  $\log_{10}$  RNA copies per milliliter in all participants at enrollment showed no significant differences between countries and were therefore analyzed as a single cohort. Median viral loads between 2 and 6 months was 4.01 (3.48–4.53, IQR); between 7 and 12 months it was 3.82 (3.43–4.4, IQR); between 13 and 18 months it was 4.02 (3.46–4.38, IQR); and at >18 months it was 3.84 (3.29–4.36, IQR). The overall median viral load of 4.0  $\log_{10}$  copies/ml was similar to other subtype C cohorts monitored in Ethiopia<sup>16</sup> and was also within the range of viremia measured in CRF02-infected female sex workers without a sexually transmitted disease in West Africa.<sup>14</sup>

The median CD4<sup>+</sup> cell counts measured, using the FACS-count platform (BD Biosciences, Franklin Lakes, NJ), between 7 and 12 months after estimated seroconversion was 376 cells/mm<sup>3</sup> (250–522, IQR); between 13 and 18 months it was 382 cells/mm<sup>3</sup> (255–556, IQR) and at >18 months it was 364 cells/mm<sup>3</sup> (274–511, IQR). CD4<sup>+</sup> cell counts from HIV-1-seronegative individuals ( $n = 58$ ), some of whom were recruited at the clinical sites, were used as a reference range, with a median of 625 cells/mm<sup>3</sup> (523–783, IQR). When CD4<sup>+</sup> cell counts from the HIV-1-infected cohort were stratified, low CD4<sup>+</sup> cell counts (<200 cells/mm<sup>3</sup>) were significantly grouped with high viremia and high CD4<sup>+</sup> cell counts (600+ cells/mm<sup>3</sup>) were significantly grouped with low viremia (Fig. 1B). There was a significant inverse correlation with viral loads ( $r = -0.5$ ,

\*Local institutional review board informed consent was given for this study, and the human experimentation guidelines of the U.S. Department of Health and Human Services were followed in the conduct of this clinical research.

TABLE 1. DETAILS OF PARTICIPANTS AT ENROLLMENT AND INITIAL VIRAL LOAD MEASUREMENTS

	Malawi	Zimbabwe	Zambia	South Africa	Total
<i>n</i>	6	10	16	19	51
Gender	5M:1F	F	4M:12F	F	9M:42F
Median age (years)	33	25	31	25	28
Median interval (months) from seroconversion to first viral load measurement (interquartile range)	8.4 (6.8–9.4)	4.8 (4.4–5.7)	14.6 (13.6–15.3)	7.1 (5.5–12.5)	8.9 (5.7–14)
Median $\log_{10}$ viral load copies/ml at enrollment	4.03	3.61	4.07	3.58	3.97
Geometric mean viral load copies/ml at enrollment	7565	9154	10241	5718	9262



**FIG. 1.** (A) Neighbor-joining tree indicating the phylogenetic relationships between 64 full-length *gag* HIV-1 sequences (1500 bp) with 38 sequences (indicated in boldface) representing HIV-1 subtypes A–K, circulating recombinant forms (CRFs), and SIVCPZGAB. Bootstrap values >75% are shown and 63 of the sequences (Malawi, MW prefix; Zambia, ZM prefix; Zimbabwe, ZW prefix; South Africa [Durban and Johannesburg], ZA prefix) were confirmed as subtype C and 1 sequence (00ZM178) as an outlier. (B) Stratification of CD4<sup>+</sup> cell counts, showing statistically significant differences in viral loads between each CD4<sup>+</sup> stratum.

$p < 0.0001$ ), suggesting that the gross immune status of individuals, as measured by absolute CD4<sup>+</sup> cell counts, was directly related with HIV-1 viremia as observed in subtype B cohorts.<sup>19</sup> The data from seronegative controls in this study suggest that levels of CD4<sup>+</sup> cells in the general population in southern Africa are relatively lower than in HIV-uninfected individuals in North America or Europe. This lower trend has also been observed in Tanzania,<sup>20</sup> where median CD4<sup>+</sup> cell counts in HIV-1-seronegative blood donors was 800 cells/mm<sup>3</sup> (403–1604, IQR). It has been shown in subtype C HIV-1-infected Indians from sexually transmitted disease (STD) clinics that CD4<sup>+</sup> cell counts decline fairly early after infection, suggestive of rapid disease progression,<sup>21</sup> although levels of viremia appeared similar to our study. The meaning of the lower CD4<sup>+</sup> cell counts is unclear and warrants further analysis in larger cohorts to understand what impact this might have on HIV-1 infection and disease progression.

We applied a basic model of viral dynamics<sup>22,23</sup> to estimate the magnitude of the viral set point for each participant, taking into consideration viral load and parallel CD4<sup>+</sup> cell counts. The set point was defined as viral load changes not exceeding 0.5 log<sub>10</sub> variation on at least two consecutive time points. The model consisted of three variables: the population sizes of un-

infected cells ( $T$ ), the mathematically implied number of infected cells ( $T^*$ ), and free virus particles ( $v$ ). The implementation of this model used the following assumptions; (1) that each participant of the study reached a steady viral state; (2) that participants had not reached a viremic steady state at the first measured time point; (3) that a direct interaction between virus and CD4<sup>+</sup> cells occurred; (4) that the efficiency of CD4<sup>+</sup> cell infection by subtype C HIV-1 approximated the assumed infectivity for subtype B; and (5) CD4<sup>+</sup> cell counts never exceeded the upper limits found for HIV-seronegative controls. Free virus particles infect uninfected cells at a rate proportional to their abundance,  $\beta T v$ , where the rate constant  $\beta$  describes the efficiency of infection. The assumed number of infected cells produces free virus particles at a rate proportional to their abundance,  $k T^*$ . Infected cells die at a rate  $\mu T^*$ , and free virus particles are removed from the system at a rate  $c v$ . By assuming a production rate  $s$  and death rate  $d T$  for the infected cells, the three-dimensional model of virus dynamics is obtained as

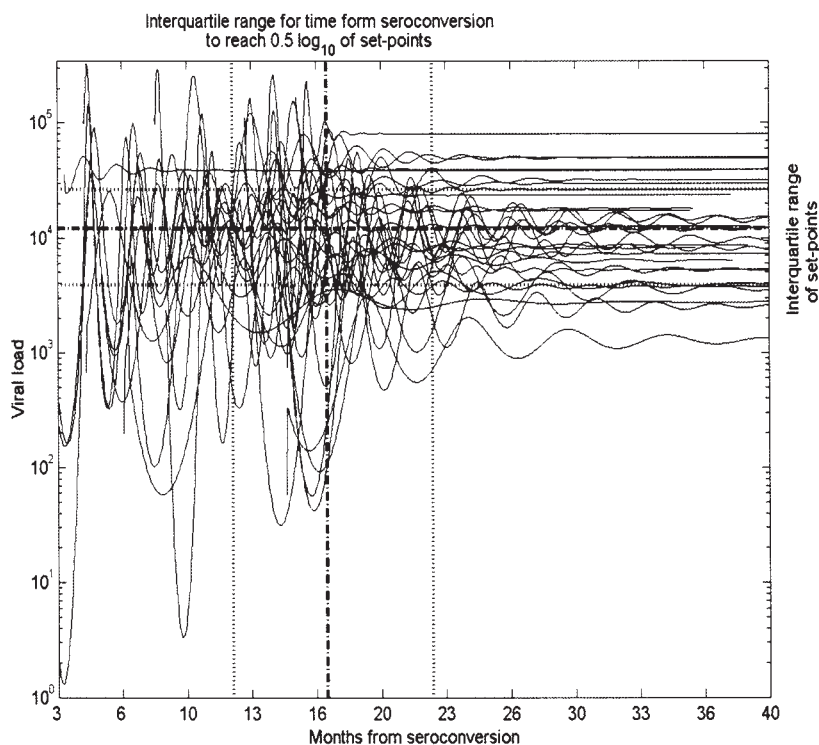
$$\dot{T} = s - dT - \beta T v$$

$$\dot{T}^* = \beta T v - \mu T^*$$

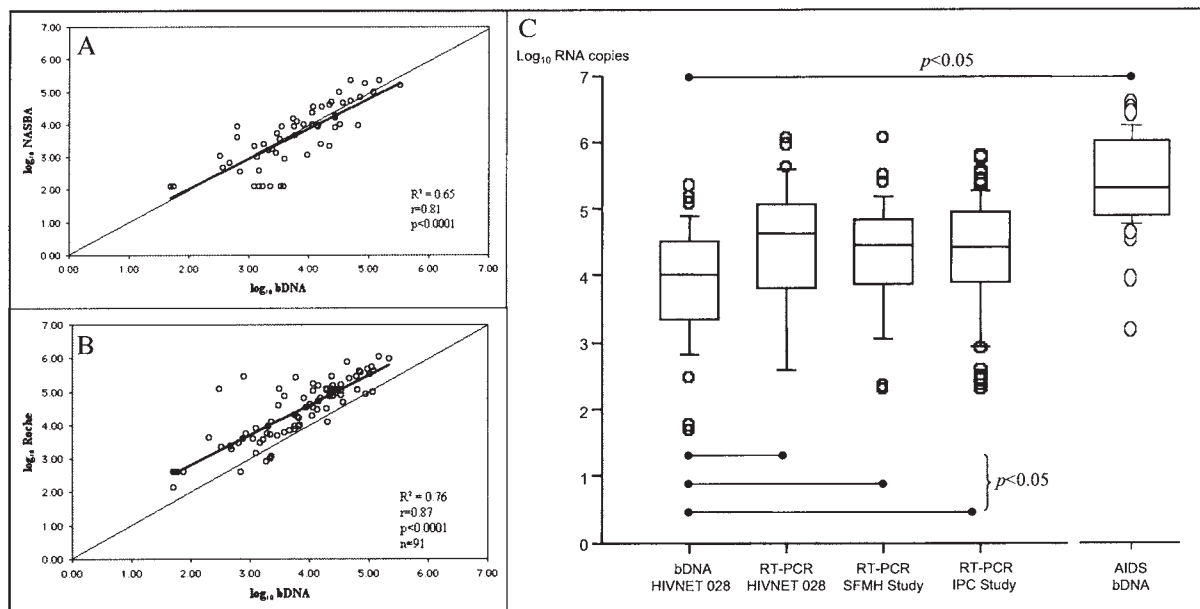
$$\dot{v} = k T^* - c v$$

Set point, in this context, was defined as the point with zero speed of change for all variables. Thus the viral set point was solved by  $v^* = d(ks\beta/\mu dc - 1)/\beta$ . Forty-four of the 51 participants had minimum requirements for parameter estimation that consisted of either four or five CD4<sup>+</sup> cell and parallel viral load measurements. The Nelder–Mead search method<sup>24,25</sup> was used to find the best fit of the model for each data point. A curve for each participant was rooted by the first actual viral load data point and the trajectory of viral loads for each participant was made (Fig. 2). The calculated median time to set point was projected to be 16.57 (12.7–22.5, IQR) months and the median set point distribution was 4.08 log<sub>10</sub> (3.59–4.42, IQR) RNA copies/ml. The fluctuations observed within the first 17 months after seroconversion were a product of viral load and CD4<sup>+</sup> cell changes for each participant. One of the limitations of this model is that it assumes that a steady state had been reached at some time after the first measurement, which may not be strictly the case with some participants in the study. Nonetheless, these data show that there is greater variability in viremia during the early phase of infection. Although the primary goal of an HIV-preventive vaccine is to induce sterilizing immunity, this may not be attained, and an important secondary marker of vaccine effectiveness would be the impact of vaccines on viral set point. From this perspective, our data would suggest that it might be prudent to assess vaccine effects on viral load beyond 17 months, when reduced fluctuations in viremia would be more apparent.

A number of published studies reporting viral loads have used different assays to measure viral loads. To compare our viral load estimations with other cohorts we replicated measurements using different assay platforms. Selected samples were sent blinded to two external laboratories for measurement of RNA copies either by the NucliSens (NASBA) assay (lower limit of 125 copies/ml) or the ultrasensitive Roche Amplicor 1.5 (lower limit of 20 copies/ml). Additional matched samples stored on site in Zimbabwe were also measured by Roche Amplicor 1.5 (lower limit of 400 copies/ml) to discount possible degradation of virus due to shipment. Figure 3A shows a significant correlation ( $r = 0.81$ ;  $p < 0.0001$ ) between bDNA and NucliSens (NASBA) measurements, although six samples with fewer than 125 copies/ml, using NASBA, corresponded to a geometric mean of 2143 RNA copies/ml (range, 1241–3876 copies/ml), using bDNA. When samples were compared with the standard Roche Amplicor 1.5 assay (lower detection of 400 copies/ml), there was a highly significant correlation (Fig. 3B;  $r = 0.87$ ;  $p < 0.0001$ ), although the standard Roche Amplicor 1.5 was consistently measuring a median of 0.57 log<sub>10</sub> RNA copies/ml greater than bDNA. There was also a significant correlation between bDNA with the ultrasensitive Roche Amplicor assay ( $n = 20$ ) of  $r = 0.91$  ( $p < 0.0001$ ), although ultrasensitive RT-PCR was consistently measuring a median of 0.32 log<sub>10</sub> RNA copies/ml greater than bDNA. When we correlated viral load values between those performed on site in Zimbabwe with



**FIG. 2.** Curve fitting of log<sub>10</sub> viral load trajectories, using a basic mathematical model for 44 of 51 participants who had the minimum requirements for parameter estimation, where the viral set point was solved by  $v^* = d(ks\beta/\mu dc - 1)/\beta$ . The plot shows the interquartile ranges for both the time to set point and the final set point. Curve fitting was done as described<sup>25</sup> and the best fit was defined in terms of square distance between actual data and modeled data, while taking into account two further assumptions: (1) CD4<sup>+</sup> cell count upper limit never exceeded 1200 cells/mm<sup>3</sup> and (2) death rate of infected cells ( $\mu$ ) was less than the death rate of virus ( $c$ ).



**FIG. 3.** (A) Correlation between  $\log_{10}$  bDNA and  $\log_{10}$  NASBA viral load measurements on blinded replicate samples ( $n = 61$ ). (B) Correlation between  $\log_{10}$  bDNA and  $\log_{10}$  RT-PCR (Roche Amplicor) viral load measurements on blinded replicate samples ( $n = 91$ ). (C) Comparison of viral load measurements made using bDNA and RT-PCR (Roche) on replicate samples with viral load measurements made in subtype B HIV-1-infected cohorts from the San Francisco Men’s Health (SFMH) Study and Infected Participants Cohort (IPC) and compared with subtype C HIV-1-infected patients with AIDS ( $n = 44$ ).

the shipped counterparts in South Africa ( $n = 30$ ), there was a significant correlation of  $r = 0.85$  ( $p < 0.0001$ ). In this case, the Roche Amplicor and bDNA assays were comparing freshly stored plasma with matched shipped samples, with RT-PCR measuring a median of  $0.59 \log_{10}$  greater than bDNA. Collectively, these data demonstrate that RT-PCR was detecting significantly ( $p < 0.001$ ) higher subtype C viremia than bDNA, in agreement with other studies.<sup>26,27</sup>

These comparative data allowed us to compare the magnitude of viremia in the HIVNET 028 cohort with subtype B-infected adult cohorts that used the Roche assay. Figure 3C shows comparisons between replicate subtype C viral load measurements using bDNA and Roche in the HIVNET 028 study within the first 12 months of infection ( $n = 50$ ) with subtype B viral load measurements from the San Francisco Men’s Health Study (SFMHS)<sup>28</sup> and Infected Participant Cohort.<sup>3</sup> These data show that subtype C viral loads measured with the Roche Amplicor assay were comparable with recently infected subtype B HIV-1-infected male individuals, when they were matched for the time after seroconversion. The geometric mean of subtype C viral loads within the first 12 months from midpoint were 27,791 RNA copies/ml; SFMHS was 17,542 RNA copies/ml ( $n = 35$ ); and the IPC was 18,165 copies/ml ( $n = 104$ ). There were no significant differences. By comparison, the bDNA assay measured a geometric mean of 7366 RNA copies/ml for the same period. To confirm whether the bDNA assay was able to measure high viral loads in subtype C HIV infection, a cohort of AIDS patients ( $n = 44$ ) was analyzed, showing significantly higher geometric mean viremia of 254,451 copies/ml.

It has been shown that HIV subtype may impact on the course of disease, where (e.g., in Uganda) subtype D was associated

with faster disease progression compared with subtype A.<sup>29</sup> In Senegal, subtype A HIV-1-infected individuals were more likely to have slower disease progression than those infected with non-A subtypes, who progressed more rapidly to AIDS.<sup>30</sup> In India, there was no distinction between subtype C viral loads and that found in subtype B infection, although differences in viral load trajectory suggested a more rapid disease course.<sup>21</sup> Conversely, other studies found no association between subtype and disease progression.<sup>31,32</sup> In our study, the estimated viral load set point for subtype C in southern Africa suggests that subtype C HIV-1 infection in Africa may follow the same disease course as subtype B infection as described in cohorts from North America and Europe. The lack of longer term follow-up in our cohort precludes us from making conclusions about viral trajectory and disease progression beyond the first 24 months after seroconversion. There are several caveats in making our conclusions. First, the composition of the cohort was predominantly female. It has been shown that HIV-1 viremia in women at the early stages of infection is significantly lower than in males,<sup>33,34</sup> although disease progression is no different between genders.<sup>35</sup> The possibility that viral loads were low because of assay effects was excluded by replicating measurements with different assays. Furthermore, the incidence of coinfections, such as with *Mycobacterium tuberculosis*, may significantly alter the course of HIV-1 disease<sup>36</sup> independently of CD4<sup>+</sup> cell counts and viral load measurements in early infection.

In conclusion, the dynamics and attainment of set point in subtype C HIV infection in southern Africa appears no different from that found for subtype B infection and the course of plasma viremia, as described in this study, will allow a useful baseline comparator for the effects of HIV-1 vaccines.

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